

# The CBHSQ Report

Short Report September 11, 2014\*

# RECOVERY SERVICES PROVIDED BY SUBSTANCE ABUSE TREATMENT FACILITIES IN THE UNITED STATES

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# **INTRODUCTION**

In 2012, 2.5 million people in the United States received substance abuse treatment at a specialty facility.¹ For many individuals entering substance abuse treatment, substance abuse is not an isolated problem. Drug- and alcohol-abusing populations have higher rates of mental health issues than the general population,² and many suffer from direct and indirect physical health conditions caused or exacerbated by their substance abuse.³ Because substance use often impacts multiple aspects of an individual's life, clients often enter treatment with housing issues, employment problems, and damaged family or social relationships.⁴

Treatment outcomes have been shown to improve when clients receive tailored services that match and address their specific needs.<sup>5,6,7</sup> The Substance Abuse and Mental Health Services Administration (SAMHSA) defines the term "recovery" as a process of change through which individuals improve their health and wellness, live a self-directed life, and strive to reach their full potential.<sup>8</sup> SAMHSA has identified four dimensions of recovery from substance abuse<sup>8</sup>:

- 1. **Health**: overcoming or managing one's disease(s) or symptoms—for example, abstaining from use of alcohol, illicit drugs, and nonprescribed medications if one has an addiction problem—and for everyone in recovery, making informed, healthy choices that support physical and emotional well-being;
- 2. *Home*: having a stable and safe place to live;
- 3. **Purpose**: participating in meaningful daily activities, such as a job, school, volunteerism, family caretaking, or creative endeavors, and having the independence, income, and resources to participate in society; and
- 4. **Community**: engaging in relationships and social networks that provide support, friendship, love, and hope.



#### In Brief

- Through the Recovery Support Strategic Initiative, the Substance Abuse and Mental Health Services Administration has identified *Health*, *Home*, *Purpose*, and *Community* as the four major dimensions that support recovery from substance abuse.
- In 2012, the *Health* recovery dimension was addressed by 91% of treatment facilities offering comprehensive substance abuse assessment or diagnosis, mental health disorder screening (68%), drug or alcohol screening (84%), substance abuse education (96%); 28% tested for HIV, 24% for hepatitis C, 22% for hepatitis B, 21% for STDs.
- The Home recovery dimension was addressed by substance abuse treatment facilities offering case management (80%), discharge planning (94%), and aftercare or continuing care services (84%); 49% assisted clients in locating housing.
- 74% of treatment facilities offered social skills development and 62% used a sliding-fee scale in reflection of the *Purpose* recovery dimension and 37% offered employment counseling.
- The *Community* recovery dimension was addressed by the majority of treatment facilities offering individual (98%), group (93%), & family counseling (82%) & community outreach (57%).

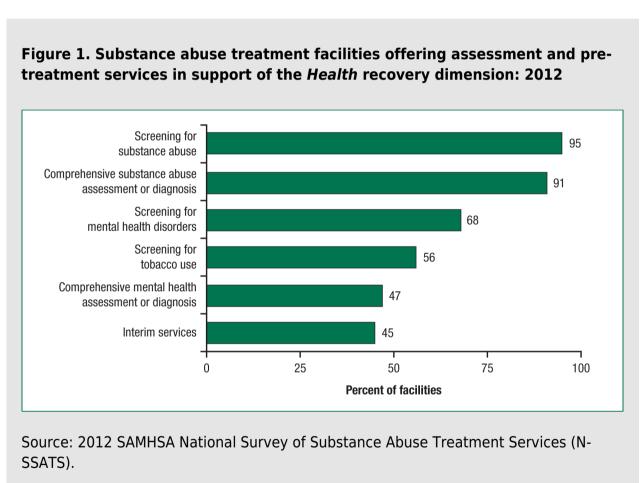
This report uses data from the 2012 National Survey of Substance Abuse Treatment Services (N-SSATS) to examine the extent to which substance abuse treatment facilities were providing recovery support services across each of the four dimensions of recovery. N-SSATS is an annual, national survey of all known substance abuse treatment facilities, both public and private, that collects data on a wide range of services commonly offered by facilities. This report includes the data reported by 14,311 substance abuse treatment facilities.

#### **RECOVERY DIMENSION 1: HEALTH**

Because recovery pathways reflect the distinct needs and goals of individual treatment clients,<sup>5</sup> the *Health* dimension of recovery encompasses a wide range of services. As the largest category, *Health*-related services include assessment and pre-treatment services, testing services, use of pharmacotherapies, and supportive ancillary services.

#### **Assessment and Pre-Treatment Services**

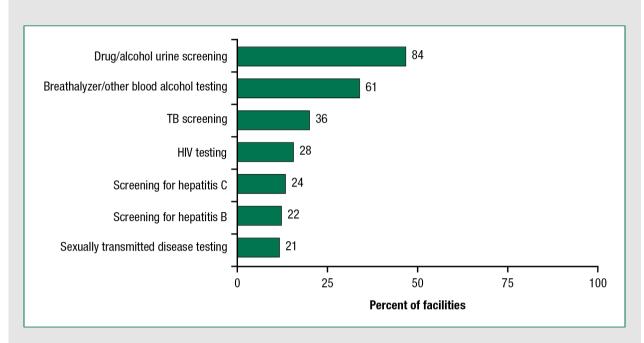
The most common assessment and pre-treatment services provided by facilities were screening for substance abuse (95 percent) and comprehensive substance abuse assessment or diagnosis (91 percent) (Figure 1). A majority of facilities also provided screening for mental health disorders (68 percent). Over half of facilities provided screening for tobacco use (56 percent). Less than half of facilities provided comprehensive mental health assessment or diagnosis (47 percent) or interim services for clients when immediate admission was not possible (45 percent).



#### **Testing Services**

The majority of facilities offered drug or alcohol urine screening (84 percent) or breathalyzer or other blood alcohol testing (61 percent) (Figure 2). Communicable disease testing was provided by substantially smaller proportions of facilities. Specifically, 36 percent of facilities provided tuberculosis (TB) screening, 28 percent provided testing for HIV, 24 percent screened for hepatitis C, 22 percent screened for hepatitis B, and 21 percent tested for sexually transmitted diseases.

Figure 2. Substance abuse treatment facilities offering testing services in support of the *Health* recovery dimension: 2012



Source: 2012 SAMHSA National Survey of Substance Abuse Treatment Services (N-SSATS).

# **Pharmacotherapy Services**

Medications for the treatment of psychiatric disorders were the most commonly provided pharmacotherapy (38 percent) (Table 1). About one-fifth of facilities provided nicotine replacement pharmacotherapy (22 percent) and 16 percent provided non-nicotine tobacco cessation medications. Less than 1 in 5 facilities offered pharmacotherapies used for alcohol and other substance abuse treatment, including oral naltrexone (18 percent), Antabuse® (17 percent), Campral® (17 percent), and Vivitrol® (injectable naltrexone; 10 percent). One in five or fewer facilities offered medications, such as buprenorphine with naloxone (Suboxone®; 20 percent), buprenorphine without naloxone (11 percent), or methadone (11 percent), which treat addiction to opioids such as heroin or prescription pain relievers like oxycodone.9

#### **Ancillary Services**

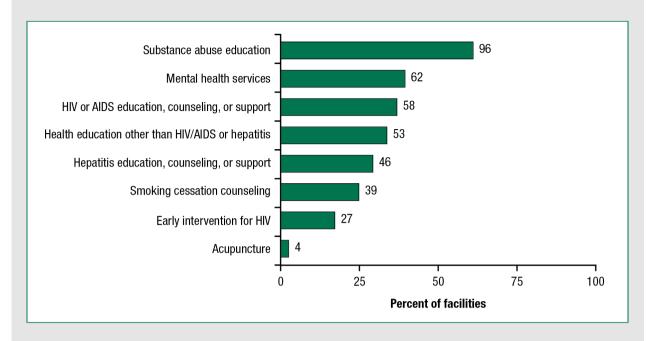
The vast majority of facilities (96 percent) offered substance abuse education (Figure 3). Over half of facilities provided mental health services (62 percent); HIV or AIDS education, counseling, or support services (58 percent); and health education other than HIV/AIDS or hepatitis (53 percent). About 2 in 5 facilities offered smoking cessation counseling (39 percent), and over 1 in 4 facilities (27 percent) provided early intervention for HIV.

Table 1. Substance abuse treatment facilities offering pharmacotherapies in support of the *Health* recovery dimension: 2012

Pharmacotherapies	Percent
Medications for psychiatric disorders	38
Nicotine replacement	22
Buprenorphine with naloxone (Suboxone®)	20
Naltrexone (oral)	18
Campral®	17
Antabuse®	17
Non-nicotine smoking/tobacco cessation medications	16
Buprenorphine without naloxone	11
Methadone	11
Vivitrol® (injectable naltrexone)	10

Source: 2012 SAMHSA National Survey of Substance Abuse Treatment Services (N-SSATS).

Figure 3. Substance abuse treatment facilities offering ancillary services in support of the *Health* recovery dimension: 2012



Source: 2012 SAMHSA National Survey of Substance Abuse Treatment Services (N-SSATS).

#### **RECOVERY DIMENSION 2: HOME**

The second dimension of recovery is having a stable and safe place to live. *Home*-related services provided in 2012 primarily included supportive ancillary services as well as services that helped clients transition out of treatment.

#### **Ancillary Services**

Four in five facilities offered case management services (80 percent), and over half offered assistance with obtaining social services, such as Medicaid; Women, Infants, and Children; Supplemental Security Income; and Social Security Disability Income (57 percent) (Table 2). Nearly half of facilities (49 percent) provided assistance in locating housing for substance abuse treatment clients. Similar proportions of facilities offered transportation assistance to treatment (41 percent) and domestic violence services (40 percent).

# **Transitional and Follow-up Services**

The majority of facilities offered discharge planning (94 percent) and aftercare or continuing care services (84 percent). Moreover, outcome follow-up after discharge was part of the standard operating procedures across 69 percent of facilities.

Table 2. Substance abuse treatment facilities offering ancillary, transitional, and follow-up services in support of the *Home* recovery dimension: 2012

Service	Percent
Ancillary services	
Case management services	80
Assistance with obtaining social services	57
Assistance in locating housing for clients	49
Transportation assistance to treatment	41
Domestic violence—family or partner violence services	40
Transitional and follow-up services	
Discharge planning	94
Aftercare/continuing care	84
Outcome follow-up after discharge	69

Source: 2012 SAMHSA National Survey of Substance Abuse Treatment Services (N-SSATS).

#### **RECOVERY DIMENSION 3: PURPOSE**

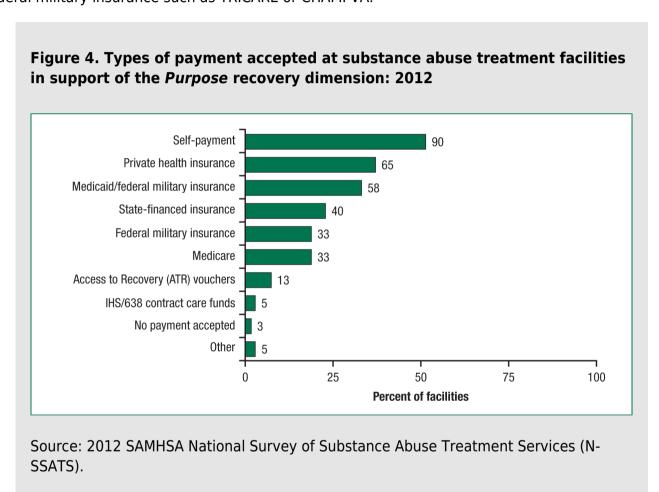
Participating in society through meaningful daily activities such as a job, school, or family caretaking is central to the *Purpose* dimension of recovery. *Purpose*-related services offered by facilities primarily included ancillary services. Since having the resources to participate in meaningful activities is also part of the *Purpose* dimension, services that assisted clients with paying for their treatment are also included.

#### **Ancillary Services**

In 2012, nearly three-quarters (74 percent) of facilities provided services in support of social skills development. Employment counseling or training for clients was offered by 37 percent of facilities (data not shown). Only 7 percent provided child care services (data not shown).

## **Payment Services**

Over half of facilities (62 percent) used a sliding-fee scale to determine an appropriate charge for services based on their clients' economic situations (data not shown); 9 in 10 facilities (90 percent) accepted self-payment for substance abuse treatment (Figure 4). Nearly two-thirds (65 percent) of facilities accepted private health insurance, and over half (58 percent) accepted Medicaid. A third of facilities (33 percent) accepted Medicare or federal military insurance such as TRICARE or CHAMPVA.

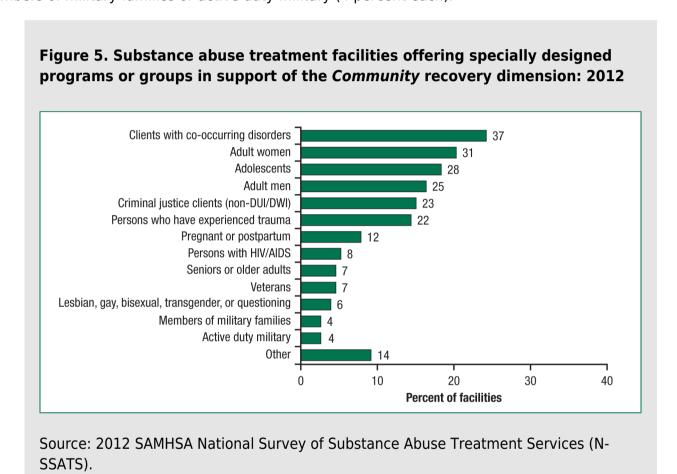


#### **RECOVERY DIMENSION 4: COMMUNITY**

The role of *Community* in recovery refers to relationships and social networks that provide support, friendship, love, and hope. One way that treatment programs facilitate therapeutic networking, foster healthy relationships, and provide targeted services is to offer specially designed substance abuse treatment programs or groups for specified types of clients. In addition, *Community*-related services also included counseling, ancillary, and pre-treatment services.

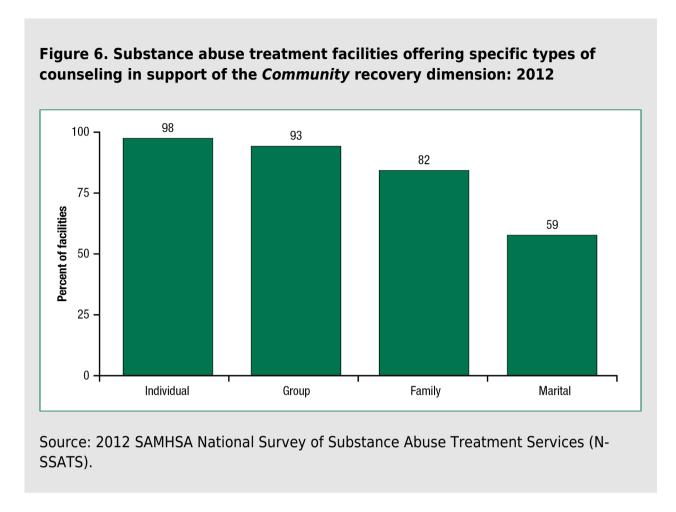
#### **Specially Designed Programs or Groups**

Over a third (37 percent) of facilities offered a specially designed program or group for clients with co-occurring mental and substance abuse disorders (Figure 5). Over a quarter of facilities offered a special program or group for adult women (31 percent) or for adolescents (28 percent), and a quarter of facilities (25 percent) offered a special program or group for adult men. Nearly a quarter of facilities (23 percent) offered a specially designed program or group for criminal justice clients (other than DUI/DWI). Twelve percent of facilities offered a specially designed program or group for pregnant or postpartum women. Less than 1 in 10 facilities offered a specially designed program or group for persons with HIV or AIDS (8 percent); for veterans and seniors or older adults (both 7 percent); for lesbian, gay, bisexual, transgender, or questioning clients (6 percent); and for members of military families or active duty military (4 percent each).



#### **Counseling Services**

Counseling services can help clients in treatment with their addiction, as well as address interpersonal problems they are having with spouses, children, friends, and others in their social network. Virtually all facilities provided individual counseling (98 percent), and the majority provided group counseling or family counseling (93 and 82 percent, respectively) (Figure 6). Marital/couples counseling was provided by over half (59 percent) of all facilities.



#### **Other Services**

In 2012, over half of facilities provided outreach to persons in the community who may have needed treatment (57 percent; data not shown). Mentoring or peer support was provided by over half (52 percent) of facilities. Self-help groups (e.g., Alcoholics Anonymous, Narcotics Anonymous, and SMART Recovery) were offered by nearly half (47 percent) of facilities (data not shown).

#### **DISCUSSION**

Findings from this report indicate that the nation's substance abuse treatment facilities offered a range of services that reflect SAMHSA's four key recovery dimensions: *Health, Home, Purpose*, and *Community*. The *Health* recovery dimension was addressed primarily through substance abuse screening and comprehensive substance abuse assessment or diagnosis, substance abuse education, and drug or alcohol urine screening. However, communicable disease testing, comprehensive mental health assessments or diagnoses, and the provision of mental disorder medications were less commonly offered. These may be areas in need of increased resources given the high rates of these problems in substance abuse treatment populations and research showing improved treatment outcomes when the needed medical and mental health services are received.<sup>5,6,10</sup>

Several notable findings support the *Home* and *Purpose* recovery dimensions. Specifically, about half of facilities offered clients assistance with obtaining social services and locating housing, and most facilities provided transitional services such as discharge planning and aftercare or continuing care services. These services are critical as the provision of housing assistance and services that address aftercare needs have been shown to improve treatment outcomes.<sup>11,12,13</sup> Because services that address access barriers have been associated with reductions in post treatment substance use,<sup>13</sup> it is notable that most facilities used a sliding-fee scale and accepted Medicaid. However, less than two-fifths of facilities offered employment counseling; given that employment has been shown to be an important component of treatment success and

post-treatment recovery, this may be one area that treatment administrators may consider expanding. Finally, the *Community* recovery dimension was primarily addressed through counseling, community outreach efforts, and mentoring or peer support.

Because individuals in treatment often face substantial challenges in addition to their substance abuse, it is important that facilities are equipped to address all four of the recovery dimensions to support enduring positive outcomes for their clients. Additional information on substance abuse recovery is available at the SAMHSA's Recovery Support Web site (<a href="http://www.samhsa.gov/recovery/">http://www.samhsa.gov/recovery/</a>). Individuals in need of substance abuse treatment can use SAMHSA's Behavioral Health Treatment Services Locator, which may be accessed at <a href="http://findtreatment.samhsa.gov/">http://findtreatment.samhsa.gov/</a>. Users can search the Locator for facilities by location and by specific characteristics, such as the types of services offered including payment assistance options, special programs/groups offered, and type of care.

### **END NOTES**

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- 9. Two opioid medications are approved for the effective treatment of opioid addiction: methadone and buprenorphine. Methadone may be dispensed only in opioid treatment programs (OTPs), which are certified by the Substance Abuse and Mental Health Services Administration (SAMHSA). Treatment protocols require that a client take the medication at the clinic where it is dispensed daily; take-home dosages are allowed only for clients who have been in treatment for an extended period of time and meet additional requirements. Conversely, buprenorphine may be prescribed by physicians who obtain specialized training. Thus, it is possible for buprenorphine-trained physicians to operate out of private practices and through substance abuse treatment facilities or programs. The buprenorphine services indicated in this report include only those who operate in conjunction with a substance abuse treatment facility; it does not include the private practice physicians. Although 11 percent of substance abuse treatment facilities offered methadone, only 8 percent of facilities were classified as OTPs. The reason for the difference is that some facilities, such as hospitals, can prescribe methadone for purposes other than treatment of opioid addiction, such as for pain relief, or can use methadone in emergency situations without being a SAMHSA-certified OTP.
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#### **SUGGESTED CITATION**

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#### **SUMMARY**

**Background:** In 2012, 2.5 million people in the United States received substance abuse treatment (SAT) at a specialty facility. Drug- and alcohol-abusing populations have higher rates of mental health issues than the general population, and many suffer from direct and indirect physical health conditions caused or exacerbated by their substance abuse. Because substance use often impacts multiple aspects of an individual's life, clients often enter treatment with housing issues, employment problems, and damaged family or social relationships. Through the Recovery Support Strategic Initiative, the Substance Abuse and Mental Health Services Administration has identified Health, Home, Purpose, and Community as the four major dimensions that support recovery from substance abuse. **Methods:** The extent to which SAT facilities were providing recovery support services across each of the four dimensions of **recovery** was examined in the 2012 National Survey of Substance Abuse Treatment Services (N-SSATS) data. Results: In 2012, the Health recovery dimension was addressed by the majority of treatment facilities offering comprehensive substance abuse assessments or diagnoses (91%), mental health disorder screenings (68%), drug or alcohol urine screenings (84%), and substance abuse education (96%); 28% tested for HIV, 24% tested for hepatitis C, 22% tested for hepatitis B, and 21% tested for sexually transmitted diseases. The Home recovery dimension was addressed by SAT offering case management (80%), discharge planning (94%), and aftercare or continuing care services (84%); in addition, 49% assisted clients in locating housing. 74% of SAT offered social skills development and 62% used a sliding-fee scale in reflection of the Purpose recovery dimension, though only 37% offered employment counseling. The Community recovery dimension was addressed by the majority of treatment facilities offering individual, group, and family counseling (98, 93, and 82%, respectively) and community outreach (57%). **Conclusion:** Findings from this report indicate that the nation's substance abuse treatment facilities offered a range of services that reflect SAMHSA's four key recovery dimensions.

**Key words:** substance abuse treatment, recovery from substance abuse, National Survey of Substance Abuse Treatment Services, N-SSATS, Substance Abuse and Mental Health Services Administration, SAMHSA

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#### **KEYWORDS**

Short Report, Substance Abuse Facility Data, Researchers

The Substance Abuse and Mental Health Services Administration (SAMHSA) is the agency within the U.S. Department of Health and Human Services that leads public health efforts to advance the behavioral health of the nation. SAMHSA's mission is to reduce the impact of substance abuse and mental illness on America's communities.

The National Survey of Substance Abuse Treatment Services (N-SSATS) is an annual survey designed to collect information from facilities in the United States, both public and private, that provide substance abuse treatment. N-SSATS provides a mechanism for quantifying the dynamic character and composition of the United States substance abuse treatment delivery system. The objectives of N-SSATS are to collect multipurpose data that can be used to assist SAMHSA and State and local governments in assessing the nature and extent of services provided and in forecasting treatment resource requirements, to update SAMHSA's Inventory of Behavioral Health Services (I-BHS), to analyze general treatment services trends, and to generate Substance Abuse Treatment facility Locator [http://findtreatment.samhsa.gov/].

N-SSATS is one component of the Behavioral Health Services Information System (BHSIS), maintained by the Center for Behavioral Health Statistics and Quality (CBHSQ), SAMHSA. N-SSATS collects three types of information from facilities (1) characteristics of individual facilities such as services offered and types of treatment provided, primary focus of the facility, and payment options;

(2) client count information such as counts of clients served by service type and number of beds designated for treatment; and (3) general information such as licensure, certification, or accreditation and facility website availability. In 2012, N-SSATS collected information from 14,311 facilities from all 50 states, the District of Columbia, Puerto Rico, the Federated States of Micronesia, Guam, Palau, and the Virgin Islands. Information and data for this report are based on data reported to N-SSATS for the survey reference date March 30, 2012.

The N-SSATS Report is prepared by the Center for Behavioral Health Statistics and Quality (CBHSQ), SAMHSA, Synectics for Management Decisions, INC., Arlington, VA; and by RTI International in Research Triangle Park, NC.

Latest N-SSATS reports:

http://www.samhsa.gov/data/substance-abuse-facilities-data-nssats

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